



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231

X3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/436,984 17Z09/99 YAMAZAKI

S 0756-2063

0242/1213



ERIC J ROBINSON  
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SUITE 800  
MCLEAN VA 22102

NOT ASSIGNED

DATE MAILED: 2825

12/13/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 127.00.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 560.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 100.00 for 36 total claims over 20.

\$ 512.00 for 11 independent claims over 3.

\$ 616.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.

- does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: \_\_\_\_\_

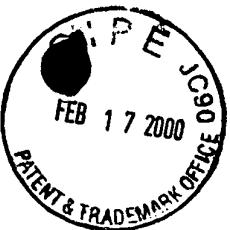
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply:**

02/10/2000 TLWJ11 00000013 09436984

01 FC:104	260.00 <input type="checkbox"/>
02 FC:102	312.00 <input type="checkbox"/>
03 FC:103	1000.00 <input type="checkbox"/>
04 FC:105	130.00 <input type="checkbox"/>
05 FC:101	760.00 <input type="checkbox"/>

U.S. GPO 1999 450-5875



- 1 -

Docket: 0756-2063

sector 3  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of: )  
SHUNPEI YAMAZAKI et al. ) Art Unit: 2825  
Serial No. 09/436,984 )  
Filed: 11/09/99 )  
For: SEMICONDUCTOR DEVICE AND )  
MANUFACTURING METHOD )  
THEREOF )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 2/14/00.

Sharon L. Tabor

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated December 13, 1999, submitted herewith are the following documents for filing in the above-referenced application:

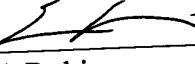
1. Declaration and Power of Attorney
2. Assignment and Assignment Cover Sheet
3. Copy of Notice to File Missing Parts of Application - Filing Date Granted
4. Information Disclosure Statement

5. A check in the amount of \$2,510.00 is attached to cover the \$760 - filing fee; \$260 - multiple dependent claims; \$1,320 - additional claims; \$40 - assignment recordation fee; and \$130 - surcharge.

All formal requirements now having been met, it is requested that the Official Filing Receipt be issued.

The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380(0756-2063). A duplicate of this sheet is attached.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

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